

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kirt Reed Williams

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: SEMICONDUCTOR STRUCTURE WITH ELECTRICALLY ISOLATED SIDEWALL ELECTRODES
AND METHOD FOR FABRICATING THE STRUCTURE

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency
of this application, please charge any fees required or credit any overpayment to Deposit Account
50-1078 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (*) were
disclosed in Patent Application No. _____ filed _____, now U. S. Patent No.
_____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR
1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications
and other foreign language information listed on PTO Form 1449, as presently understood by the
individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
attached sheet, or where a foreign language patent is cited in a search report or other action by a
foreign patent office in a counterpart foreign application, an English language version of the search
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO
1449 and is enclosed herewith.

"Express Mail" label no. ET549237845US

Date of Deposit 09/22/2003

I hereby certify that this is being deposited with the
United States Postal Service "Express Mail Post
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the date indicated above and is addressed to:
Commissioner for Patents, PO Box 1450,
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By Thomas H. Ham

Typed Name: Thomas H. Ham

Respectfully submitted,

Kirt Reed Williams

By Thomas H. Ham

Thomas H. Ham

Attorney/Agent for Applicant(s)

Reg. No. 43,654

Date: 09/22/2003

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10010900-1

SERIAL NO.

APPLICANT

Kirt Reed Williams

FILING DATE

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | * | DOCUMENT NUMBER | DATE | NAME |
|---------------------|---|-----------------|------------|----------------|
| | | 2002/0064337 | 05/30/2002 | Behin et al. |
| | | 6,362,556 | 03/26/2002 | Hoen |
| | | 5,448,124 | 09/05/1995 | Higuchi et al. |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | NAME | TRANSLATION | |
|--|--|--------------------|------|------|-------------|----|
| | | | | | YES | NO |
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)